

## BOWDITCH &amp; DEWEY, LLP

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**
*(Only for new nonprovisional applications  
under 37 C.F.R. 1.53(b))*

Attorney Docket No.

303606.3002-100

First Named Inventor or  
Application Identifier

Julie A. Kadashevich

Express Mail Label No.

ER 568537581

Title of  
Invention**Method and System for Monitoring Off-Schedule Software Agents****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*

6. ☐ Microfiche Computer Program (*Appendix*)

2. ☒ Specification **[Total Pages [24] ]**  
*(preferred arrangement set forth below)*

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D.
- Reference to microfiche Appendix
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

7. ☐ Nucleotide and/or Amino Acid Sequence  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)  
[ ] Pages
- c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) **[Total Sheets [10] ]**  
[ ] Formal [X] Informal  
[X] Fig. of the Drawings for Publication [1]

4. ☒ Oath or Declaration/POA **[Total Pages [2] ]**

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
**[NOTE Box 5 below]**

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).

5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee - International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

9. ☐ 37 C.F.R. 3.73(b) Statement [ ] Power of Attorney  
*(when there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☐ Information Disclosure [ ] Copies of IDS  
Statement (IDS)/PTO-1449 Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

14. ☐ Small Entity [ ] Statement filed in prior application,  
Statement(s) status still proper and desired

15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16. ☐ Nonpublication Request *(check parent application)*

17. ☐ Other: \_\_\_\_\_

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner:

Group Art Unit:

**19. CORRESPONDENCE ADDRESS**

|         |                                   |           |                |          |                |
|---------|-----------------------------------|-----------|----------------|----------|----------------|
| NAME    | MONICA GREWAL, ESQ.               |           |                |          |                |
|         | BOWDITCH & DEWEY, LLP             |           |                |          |                |
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|                                       |                      |             |                         |
|---------------------------------------|----------------------|-------------|-------------------------|
| Signature                             | <i>Monica Grewal</i> | Date        | <i>January 20, 2004</i> |
| Submitted by<br>Typed or Printed Name | Monica Grewal        | Reg. Number | 40,056                  |

# BOWDITCH & DEWEY, LLP

|  |                        |                      |
|--|------------------------|----------------------|
| <b>FEE TRANSMITTAL FOR<br/>PATENT APPLICATIONS</b> | Attorney Docket Number | 303606.3002-100      |
|  | Application Number     | Not Assigned         |
|  | Confirmation Number    | Not Assigned         |
|  | First Named Inventor   | Julie A. Kadashevich |

## CLAIM CALCULATION (includes any preliminary amendment)

| CLAIMS  | (1) FOR   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE                             | (5) CALCULATIONS |
|---|---|------------------|------------------|--------------------------------------|------------------|
|   | TOTAL CLAIMS<br>(37 CFR 1.16(b) or (j))                                     | 23- 20* =        | 3                | x \$ 18 =                            | \$ 54            |
|   | INDEPENDENT CLAIMS<br>(37 CFR 1.16(b) or (j))                               | 4- 3** =         | 1                | x \$ 86 =                            | \$ 86            |
|   | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))                  |                  |                  | + \$ 290 =                           | \$ 0             |
|   |   |                  |                  | BASIC FEE<br>(37 CFR 1.16(a) or (h)) | \$ 770           |
|   |   |                  |                  | Total of above Calculations =        | \$ 910           |
|   | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27,1.28) =       |                  |                  |                                      | \$               |
|   | TOTAL =   |                  |                  |                                      | \$ 910           |
|   | Surcharge – Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = |                  |                  |                                      | \$               |
|   | Petition for Extension of Time Fee (37 C.F.R. 1.17) =                       |                  |                  |                                      | \$               |
|   | Assignment Recordation Fee =<br>(only when filed with application)          |                  |                  |                                      | \$               |
| * Reissue claims in excess of 20 and over original patent |   |                  |                  | \$ 0                                 |                  |
| ** Reissue independent claims over original patent        |   |                  |                  |                                      |                  |
| TOTAL =   |   |                  |                  |                                      |                  |

### 1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 50-1935 for any fees required under 37 CFR §1.16 and §1.17 in order to maintain pendency of this application.  
A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$[910.00]. ☐ Please charge \$[ ] to Deposit Account No. 50-1935

4. ☐ Other: \_\_\_\_\_

|                                       |                      |             |                         |
|---------------------------------------|----------------------|-------------|-------------------------|
| Signature                             | <i>Monica Grewal</i> | Date        | <i>January 20, 2004</i> |
| Submitted by<br>Typed or Printed Name | Monica Grewal        | Reg. Number | 40,056                  |